

# ERISA Advisor Evaluator (EAE) Program ADVISOR USER LICENSE AGREEMENT (ULA)

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2. LICENSEE is either an individual or entity that is licensed to use the EAE according to the ULA.
3. LEAD ADVISOR is defined as a **solo advisor** or the **practice leader** of a specific location. Each Lead Advisor will be listed once within the system and tied to one specific address.
4. HOUSED ADVISOR is a **“team member” sharing the same office** and address with the Lead Advisor.
5. PLAN SPONSOR is a sponsor of a retirement plan that has engaged EAE as the search engine to identify and retain the services of a Lead or Housed Advisor.
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**The initial twelve month term will begin on the later of the first day of the month following the first advisor search conducted by a plan sponsor for any advisor or the first of the month following receipt of the check for Directory listing.** Lead Advisor and Housed Advisor pricing will increase to **\$1,500** and **\$250** after the introductory offer expires on 3/31/2010 and will apply to all renewals unless informed otherwise.

If a Licensee is involved in a search and fails to renew their annual fee, access to the data will be turned off the day after their license expires. The sponsor will also be informed that the Licensee has not renewed. If a lead advisor fails to renew, discounted rates applicable to Housed Advisors will no longer apply.

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\_\_\_\_\_  
Signature of LICENSEE

\_\_\_\_\_  
Print Title

\_\_\_\_\_  
Print Name of LICENSEE

\_\_\_\_\_  
Date

\_\_\_\_\_  
Name of Solo Practice, Group, Firm or National Organization (List all applicable)

\_\_\_\_\_  
Address for all Correspondence between CFDD & Licensee

\_\_\_\_\_  
Phone Number

\_\_\_\_\_  
Email Address

**IN ADDITION TO THIS USER LICENSE AGREEMENT (ULA), AN EAE APPLICATION FORM MUST BE COMPLETED, SIGNED & RETURNED FOR: (1) EACH LEAD/SOLO ADVISOR (as defined herein). (2) HOUSED ADVISOR/TEAM MEMBER SHARING THE SAME OFFICE WITH THE LEAD ADVISOR.** Advisors who share the same office, but don't work together do not qualify for the Housed Advisor/Team Member discount.

THE EAE PROGRAM & DEDICATED WEBSITE ARE UNDER DEVELOPMENT. FOR MORE INFORMATION ON THE EAE PROGRAM & THE APPLICABLE DOCUMENTS FOR PARTICIPATION, VISIT THE CFDD's BRIDGE PAGE AT: <http://www.thecfdd.com/ERISAadvisorevaluation>.

THE APPLICATION FORM & USER LICENSE AGREEMENT SHOULD BE RETURNED TO:  
CFDD  
PO Box 8  
Western Springs, IL 60558